**Ann04/SOP19/v3**

**Checklist-Research Involving Students, Employees or Residents**

Research participants drawn from institutions with hierarchical cultures, have reduced capacity to understand and give informed consent. Such participants have decreased autonomy, and increased exploitative potential and are considered as vulnerable participants. Current regulations and guidelines require ethics committees to ensure that researchers provide ample safeguards in the research protocol for the protection of vulnerable populations. Filling out this checklist will help researchers in strengthening the protocol, and ethics committees to review this study more systematically. Principal Investigators are requested to provide their responses in this checklist in an honest and forthright manner.

Name of the Principal Investigator:

Study title:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Sl No** | **Checklist item** |  **Y** |  **N** |  **NA** |
| 1 | Have the participants been assured that their status (education, employment and/or promotion) will not be affected by any decision to participate or not? |  |  |  |
| 2 | Have the risks to participants been minimized and are such strategies described in the protocol? |  |  |  |
| 3 | Have participants been assured that participation is voluntary (no signs of coercion)? |  |  |  |
| 4 | Have participants been assured that privacy and confidentiality will be protected? |  |  |  |

*All items must be marked ‘yes’ and the same should reflect in the protocol*

Signature of Principal Investigator Date:

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| --- |
| **YEC-1 Office use only** |
| Comments of Primary Reviewer |  |
| Primary Reviewer Signature and Date |